

**Bharati Vidyapeeth
(Deemed to be University), Pune
School of Distance Education**

Name of the Assignment Setter:		Mr. Yashwant kumar			
Course:	BBA (CBCS)	Class:	BBA	Semester:	Vth
Subject:		Capital Market Operations			
Q.1)	Attempt ANY ONE of the Following (1000 Words)				(10)
a)	What is meant by the efficient market hypothesis? Distinguish between operational efficiency and pricing efficiency of Capital markets.				
b)	Explain various law and Acts governing securities Market.				
Q.2)	Attempt ANY TWO of the Following (800 Words)				(12)
a)	How SEBI controlled Fraudulent & Unfair trade practices.				
b)	Explain the importance of identification number for Electronics transfer of securities.				
c)	Explain the funds clearing and settlement mechanism.				
d)	Explain the risk in securities and funds settlement.				
Q.3)	Write Short Notes on (ANY TWO)				(08)
a)	The Depositories Act, 1996				
b)	NEAT System				
c)	Money Laundering Act,2002				
d)	Transaction Cycle				
